

## List of Frequently Cited Violations

VIOLATION DESCRIPTION	SECTION OR CITE	BASELINE ASSESSMENT
<b>General Requirements</b>		
A. Registration Requirements: Failure to register as an offeror or carrier of hazardous material and pay registration fee.	107.608 107.612	\$1,000 + \$500 each additional year.
B. Training Requirements:	172.702	\$450 and up each area
		\$500 and up or \$800 and up
C. Security Plans	172.704	\$3,000 and up
D. Notification to a Foreign Shipper: Failure to provide information of HMR requirements applicable to a shipment of hazardous materials within the United States, to a foreign offeror or forwarding agent at the place of entry into the U.S.	171.12(a)	\$1,500 to \$7,500 (corresponding to violations by foreign offeror or forwarding agent).
E. Expired Exemption or Special Permit: Offering or transporting a hazardous material, or otherwise performing a function covered by an exemption or special permit, after expiration of the exemption or special permit	171.2(a), (b), (c), Various	\$1,000 + \$500 each additional year.
<b>Offeror Requirements—All hazardous materials</b>		
A. Undeclared Shipment:		
Offering for transportation a hazardous material without shipping papers, package markings, labels, or placards	172.200, 172.300, 172.400 172.500	\$15,000 and up
B. Shipping Papers:	172.201 172.201(a)(1) 172.201(e), 172.202 172.202(c) 172.203(a) 172.203(b) 172.203(k) 172.203(c)(2) 172.204 174.24(b), 175.30(a), 176.24(b), 177.817(f)	\$500-\$6,000
C. Emergency Response Information Requirements:	172.602 172.604	\$800-\$6,000
D. Package Marking Requirements:	172.301(a) 172.303(a) 172.301(c) 172.304(a)(4) 172.312 172.324(b)	\$500-\$6,000
E. Package Labeling Requirements:	172.400 172.401(a) 172.402 172.406(a) 172.407(c) 172.407(d) 172.411	\$800-\$5,000
F. Placarding Requirements:	172.504	\$800-\$9,000
G. Packaging Requirements:	178.503(a) 178.601 173.22(a)(4) 173.24(b) 171.14 173.25(a)(4) 173.32(a), 180.352, 180.605 173.32(f)(6) 173.471(a). 173.416 Various	\$2,000-\$12,000
12. Offering any Type B, Type B(U), Type B(M) packaging that failed to meet the approved DOT, NRC or DOE design, as applicable		\$9,000.
a. Failure to have the required radiation survey record		\$5,000.